

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

**Data for every month ending**

S N	Received from	Carried forward from previous month	Receive d during the month	Total Pending	Resolve d*	Pending at the endof the month**		Average Resolution on time^ (in days)
						Pending fo rless than 3 month s	Pending fo rmore than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investo rs	-	-	-	-	-	-	-
2	SEBI (SCO RES)	-	-	-	-	-	-	-
3	Stock Excha nges	-	-	-	-	-	-	-
4	Other Sources(if any)	-	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-	-

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previousMonth	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April-2017	-	-	-	-
2	May-2017	-	-	-	-
3	June-2017	-	-	-	-
4	July-2017	-	-	-	-
5	August-2017	-	-	-	-
6	September -2017	-	-	-	-
7	October-2017	-	-	-	-
8	November-2017	-	-	-	-
9	December-2017	-	-	-	-

10	January-2018	-	-	-	-
11	February-2018	-	-	-	-
12	March-2018	-	-	-	-
13	April-2018	-	-	-	-
14	May-2018	-	-	-	-
15	June-2018	-	-	-	-
16	July-2018	-	-	-	-
17	August-2018	-	-	-	-
18	November-2018	-	-	-	-
19	December-2018	-	-	-	-
20	January-2019	-	-	-	-
21	February-2019	-	-	-	-
22	March-2019	-	-	-	-
23	April-2019	-	-	-	-
24	May-2019	-	-	-	-
25	June-2019	-	-	-	-
26	July-2019	-	-	-	-
27	August-2019	-	-	-	-
28	September-2019	-	-	-	-
29	October-2019	-	-	-	-
30	November-2019	-	-	-	-
31	December-2019	-	-	-	-
32	January -2020	-	-	-	-
33	February-2020	-	-	-	-
34	March-2020	-	-	-	-
35	April-2020	-	-	-	-
36	May-2020	-	-	-	-
37	June-2020	-	-	-	-
38	July-2020	-	-	-	-
39	August-2020	-	-	-	-
40	September-2020	-	-	-	-
41	October-2020	-	-	-	-
42	November-2020	-	-	-	-
43	December-2020	-	-	-	-
44	January -2021	-	-	-	-
45	February-2021	-	-	-	-
46	March-2021	-	-	-	-
47	April-2021	-	-	-	-
48	May-2021	-	-	-	-
49	June-2021	-	-	-	-
50	July-2021	-	-	-	-
51	August-2021	-	-	-	-
52	September-2021	-	-	-	-
53	October - 2021	-	-	-	-
54	November - 2021	-	-	-	-
55	December - 2021	-	-	-	-
56	January - 2022	-	-	-	-

57	February - 2022	-	-	-	-
58	March - 2022	-	-	-	-
59	April - 2022	-	-	-	-
60	May - 2022	-	-	-	-
61	June - 2022	-	-	-	-
62	July - 2022	-	-	-	-
63	Aug - 2022	-	-	-	-
64	Sep - 2022	-	-	-	-
65	Oct - 2022	-	-	-	-
66	Nov - 2022	-	-	-	-
67	Dec - 2022	-	-	-	-
68	Jan - 2023	-	-	-	-
68	Feb - 2023	-	-	-	-
69	Mar - 2023	-	-	-	-
70	April - 2023	-	-	-	-
71	May-2023	-	-	-	-
72	June-2023	-	-	-	-
73	July-2023	-	-	-	-
74	Aug-2023	-	-	-	-
75	Sep-2023	-	-	-	-
76	Oct-2023	-	-	-	-
77	Nov-2023	-	-	-	-
78	Dec-2023	-	-	-	-
	<b>Grand Total</b>	-	-	-	-

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>